



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tomomi FUNAYAMA, et al.

SERIAL NO: 09/938,611

GAU: 2652

FILED: August 27, 2001

EXAMINER: T. CHEN

RCE FILED: March 24, 2004

FOR: A MAGNETIC RECORDING HEAD, A MAGNETIC REPRODUCING HEAD, A MAGNETIC RECORDING APPARATUS, AND A MAGNETIC REPRODUCING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

RECEIVED

AUG 24 2004

SIR:

Applicant(s) wish to disclose the following information.

Technology Center 2600

REFERENCES

☒ The applicant(s) wish to make of record the references cited in the attached Japanese Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

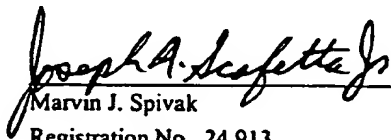
☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Marvin J. Spivak

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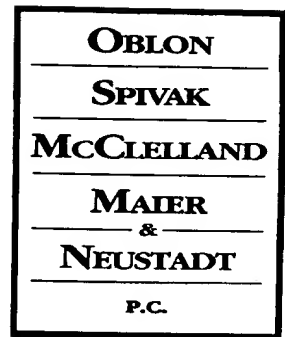
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February 9, 2005

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
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Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of November, 2004. Please review the highlighted charge on Serial Number 09/938,611 in the amount of \$180.00 on fee code #1806.

A **CERTIFIED** Information Disclosure Statement was filed on August 20, 2004. Since this application was not under final rejection, **no fee is required as set forth in 37 CFR 1.97.** Please investigate this charge and kindly refund \$180.00 to Deposit Account #150030.

Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Debra J. Noel
Accounting Department

Enclosures

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